

# The Link

**Quarterly Activity Report  
July-September 2008**



## Division of Information & Research

### Records Branch

#### EDI Section

Manually Added First Reports:

Claims: **269**

Agreements: **111**

Medical Fee Disputes: **3**

EDI Received: **9,165**

#### Data Entry Section

Mail Received: **25,223**

Orders: **8,037**

Opinion/Awards: **426**

Docket Orders: **895**



### Imaging Branch

Total Sheets Scanned: **440,351**

Equates to:

Total Documents Scanned: **56,602**

#### Micrographics

Microfilm Requests: **7**

Total Sheets Printed from microfilm: **57,096**

#### Open Records Section

Written Requests: **3,240**

Walk-In Requests: **0**

Social Security: **196**

Pre-Employment: **5,294**

Fish & Wildlife: **8**

### Contents

ALJ's, Appeals, General  
Counsel  
Quarterly Statistics  
Claims Processing

Page 2  
Pages 3/4  
Page 5

Ombudsmen  
Medical Evaluations & Voc Rehab  
Security & Compliance  
Tech Support, Design &  
Development

Page 5  
Page 6  
Page 7  
Page 8

## Administrative Law Judges

Benefit Review Conferences	<b>1,305</b>
Formal Hearings	<b>548</b>
Opinions	<b>421</b>
Remands	<b>16</b>

### Appeals Section

Appeals to the Board: **71**

Final dispositions by Board: **30**

Opinions rendered by board members:

Stivers	<b>17</b>
Gardner	<b>24</b>
Cowden	<b>24</b>
<b>Total:</b>	<b>65</b>

Appeals to Court of Appeals:	<b>17</b>
Records sent to court:	<b>15</b>
Court orders final disposition:	<b>1</b>
Court of Appeals opinions:	<b>35</b>

Appeals to Supreme Court:	<b>9</b>
Court orders final disposition:	<b>0</b>
Supreme Court opinions:	<b>13</b>

## Office of General Counsel

The Office of General Counsel received **276** Enforcement citation cases for this quarter.

**20** UCP cases

**3** Fraud cases

Enforcement fine and penalties collected: **\$401,404.50**

Show Cause fines and penalties collected: **\$44,050.00**



## QUARTERLY ACTIVITY

Lost Time First Reports of Injury	8,012	Dismissals	172
Claims Assigned	1,311	Re-openings (medical)	246
Pre-litigated Agreements	979	Re-openings (overruled)	20
Awards	227	Re-openings (sustained)	48
Agreements	896	Re-openings (motion docket)	250

## Distribution by Body Part (Top Ten)

### Claims

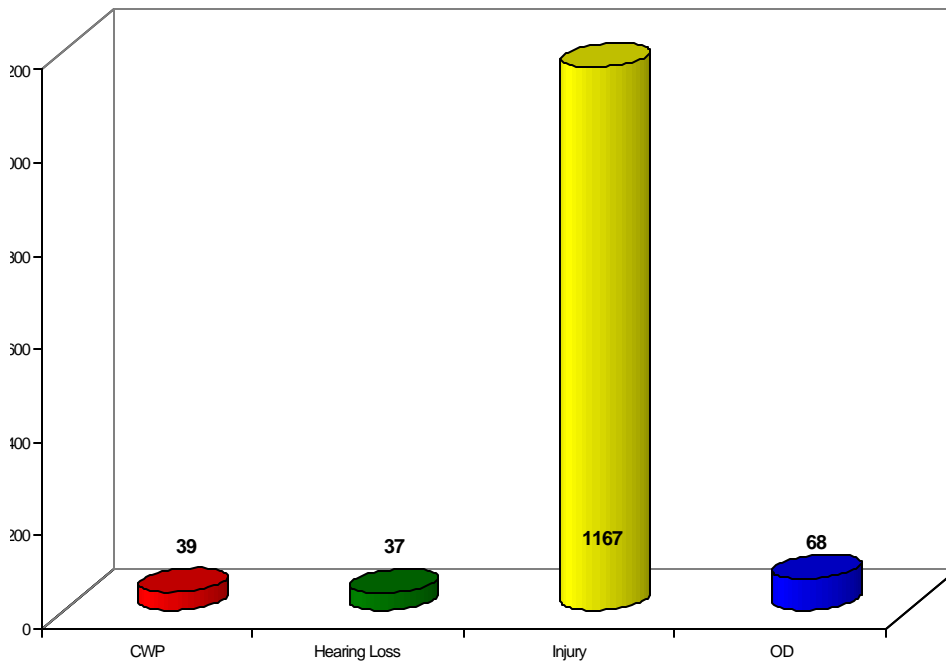
Lower Back	275
Multiple Body Parts Including Systems	261
Shoulder	106
Knee	91
Disc	44
Multiple Upper Extremities	41
Wrist	41
Lungs	40
Ears	38
Fingers	33



### FROIS

Lower Back	1,212
Multiple Body Parts Including Systems	988
Knee	753
Shoulder	603
Fingers	481
Ankle	367
Wrist	337
Hand	314
Foot	267
Abdomen Including Groin	228

**Distribution of Claims by Type**



### Top 10 Causes of Injury Claims

Fall or Slip	324
Lifting	206
Motor Vehicle	134
Strained or Injured By	103
Repetitive Motion	78
Pushing/Pulling	72
Falling or Flying Object	53
Absorption/Ingestion	48
Continual Noise	35
Other Injury (NOC)	30

**Claims figures are based on date of filing.**

### Distribution by Industry

	Claims	First Reports
Agriculture, Forestry, Fishing	26	243
Mining	197	394
Construction	123	716
Manufacturing	263	1,485
Public Utilities & Transportation	125	678
Wholesale Trade	43	321
Retail Trade	151	1,234
Finances, Insurance and Real Estate	15	153
Services	305	2,276
Public Administration	49	468
Unclassified	14	

## Division of Claims Processing

### Claims Assignment

New claims	949
New claims to the judges	1,098
Motion docket cases	239
Medical Fee Disputes	213

### Case Files Section

Received **734** new motions to reopen.  
 Reopenings to Motion Docket was **674**.  
 Total files returned for the period July-Sept, 2008 was **1,128**.  
 Number of cases purged was **1,293**.

### Agreements

First report agreements	1,052
Attorney fee motions	517
Lump sum settlements	161
Approved agreements	995
Requests for widow's benefits	49

## Division of Ombudsmen and Workers' Compensation Specialists Services

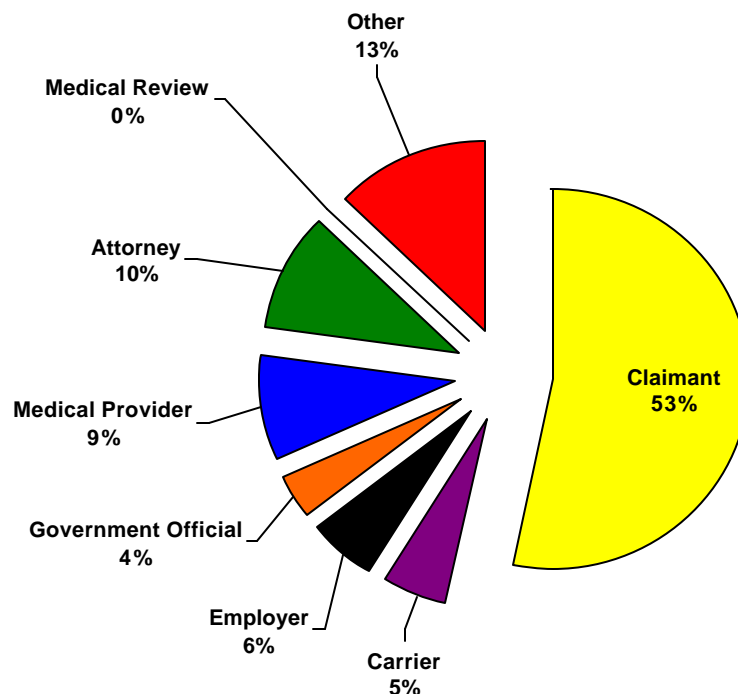
### Mediation

Intervention Requests: **362**  
 Intervention Completed Successfully: **76**

### Claims

Claims filed: **2**  
 Number of other forms assistance: **16**

Source of Request of Assistance



# Medical Evaluations

The number of shipments to B-reader's for HB 348 during the 3<sup>rd</sup> quarter of 2008:

1<sup>st</sup> B-reader: 26  
 2<sup>nd</sup> B-reader: 25  
 3<sup>rd</sup> B-reader: 18  
 Total: **69**



University of Kentucky	Jul-08	Aug-08	Sep-08
Asbestosis 061	0	0	1
Respiratory 065	6	1	0
All other OD	3	3	1
Hearing Loss 072	11	3	7
Injury & Dermatitis	8	4	2
<b>TOTAL</b>	28	11	11
University of Louisville	Jul-08	Aug-08	Sep-08
Silicosis 064	0	0	1
Hearing Loss 072	6	0	1
Injury & Dermatitis	3	2	1
<b>TOTAL</b>	9	2	3

## Vocational Rehabilitation

Cases referred by ALJ's: **19**  
 Number of claimants requesting training: **2**  
 Number of claimants approved for training: **2**  
 Number of claimants who returned to work: **1**

# Division of Security & Compliance

## Self-Insurance Branch

The Self-Insurance Branch reviews the financial strength of individual self-insured employers and determines the surety requirements necessary to cover their outstanding workers' compensation liabilities. Self-Insurance auditors utilize independent resources such as business periodicals, regional and national newspapers and internet business sites to monitor the financial condition of self-insurers. Currently, there are 156 self-insured companies in the state of Kentucky.

## Enforcement Branch

	July	August	September	Totals
Investigations	1,108	924	742	2,774
Citations Issued	125	99	84	308
Penalties Collected	\$155,790.83	\$175,426.33	\$149,070.34	\$480,287.50
Form 4's Processed	483	465	423	1,371

## Coverage Branch

Transactions processed 98,731

Acceptance Rate 88%





# The Technical Support & Design and Development Section



The Technical Support & Design and Development Section respond to all technical, networking and programming needs for the Department of Workers' Claims. Technical Services Section responded to 1,696 requests and 324 Helpdesk issues were completed.

## **Design and Development's Major Projects**

### **Completed and/or Activity**

Design and Development Section maintained and supported the SIMBA, EDI and POC programs. Staff completed 411 Test Track issues and received 353 new issues for this quarter. Trading partner vendors were assisted with EDI transmittals. Design and Development staff worked diligently on designing, programming and implementing the new policy driven process and POC 2.1 program on August 29<sup>th</sup> through September 1<sup>st</sup>. Simba reports were converted and modified to reflect the new policy driven data. Design and Development staff met and held training sessions with sections to display the new coverage screens, POC screens, reports and processes in preparation of the policy driven implementation. The new Expedited Determination of Medical Issues – 120EX process and guidelines were installed. New FileNet codes were created for the 120EX process. Design and Development staff met with Labor IT staff to discuss the Web Internet project. Section staff ran queries for in-house staff when requesting specific data from SIMBA.

